

# Lenders Compliance Group

Monday, October 17, 2011

## CFPB Issues Supervision and Examination Manual

On October 13, 2011, the Consumer Financial Protection Bureau (CFPB) issued its [Supervision and Examination Manual - Version 1.0](#) (Manual). This is the first edition of a guide devoted to how the CFPB will supervise and examine consumer financial service providers under its jurisdiction for compliance with Federal consumer financial law.

The Manual is divided into [three parts](#):

**Part 1:** Describes the supervision and examination process.

**Part 2:** Contains examination procedures, including both the general instructions and the procedures for determining compliance with specific regulations.

**Part 3:** Provides templates for documenting information related to supervised entities and the examination process, including examination reports.

Unfortunately, at this time [Part 1 and Part 2](#) are only available as website pages. [Part 3](#) is available in PDF.

However, we have created a [Directory](#) and [Compendium](#).



At this time, Part 1 and Part 2 are [only available](#) as website pages.

Part 3 is available in PDF.

In preparing our Audit and Due Diligence procedures for our clients, we have combined all three parts into a single Directory with links to each section's text and website links. There are over [700 pages](#) in this compendium.

Our compendium provides:

- [Directory: All Sections](#)
- [Contents: Links to Compendium Text](#)
- [Contents: Links to CFPB Website Text](#)

We are pleased to share this compilation with you [for free](#).

Due to the huge size of the compendium - [over 13 MBs](#) - it must be downloaded from our secure Extranet. If you are interested in obtaining this compendium, please request it and we'll send you the download instructions.



### Supervision and Examination Manual - Version 1.0

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- Examinations

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##### [Compliance Management Review](#)

##### [Unfair, Deceptive or Abusive Acts or Practices](#)

- Narrative
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- Narrative
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**TITLES HELD**

### Home Mortgage Disclosure Act

Narrative  
Examination Procedures  
Home Mortgage Disclosure Act Checklist

### Truth in Lending Act

Narrative  
Examination Procedures  
Appendix A: High-Cost Mortgage (§ 226.32) Worksheet

### Real Estate Settlement Procedures Act

Narrative  
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Checklist

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Narrative  
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### Consumer Leasing Act

Narrative  
Consumer Leasing Act Examination Procedures  
Consumer Leasing Act Checklist

### Fair Credit Reporting Act

Narrative  
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Narrative  
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Narrative  
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### Privacy of Consumer Financial Information (GLBA)

Narrative  
Examination Procedures  
Examination Procedures Attachment  
Checklist

### Mortgage Servicing Examination Procedures

### **Part III - Examination Process Templates**

Templates  
Entity Profile  
Risk Assessment  
Supervision Plan  
Examination Scope Summary  
Examination Report  
Examination Report cover  
Examination Report cover letter



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Consumer Financial Protection Bureau

### **Supervision and Examination Manual Version 1.0 Announcement**

October 13, 2011



Labels: [CFPB](#), [CFPB Examination Manual](#), [Consumer Financial Protection Bureau](#), [ECOA](#), [EFTA](#), [FCRA](#), [GLBA](#), [HMDA](#), [HPA](#), [Mortgage Compliance](#), [Mortgage Servicing](#), [RESPA](#), [TILA](#), [TISA](#), [UDAAP](#)

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