

## Corporate & Financial Weekly Digest

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### **FINRA Reminds Firms of Their Trade Reporting Obligations and Announces New Submission Process for Form T**

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The Financial Industry Regulatory Authority issued a Trade Reporting Notice reminding member firms of their obligation to report, as soon as practicable, to FINRA's Market Regulation Department on Form T last sale reports of over-the-counter transactions in equity securities for which electronic submission is not possible (such as if the transaction occurred on a holiday or weekend). In the Trade Reporting Notice, FINRA also announced a new process for the electronic submission of Form T. Effective July 5, member firms must submit Form T electronically through FINRA's Firm Gateway, and will no longer be able to submit Form T via email. Member firms may begin submitting Form T data via the new submission process on June 6, though they are not required to until the July 5 effective date.

Click [here](#) to read the FINRA Trade Reporting Notice.

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