

Corporate & Financial Weekly Digest

Posted at 1:11 PM on February 11, 2011 by [Kenneth M. Rosenzweig](#)

CFTC Issues Annual Guidance Letter Regarding CPO Reporting Requirements

Co-authored by [Kevin M. Foley](#) and [Christian B. Hennion](#)

The Division of Clearing and Intermediary Oversight (DCIO) of the Commodity Futures Trading Commission has issued its annual guidance letter to commodity pool operators (CPOs) and their accountants, summarizing annual CPO reporting obligations. The DCIO letter includes information regarding regulatory changes within the last year affecting CPOs, including the adoption by the CFTC of final regulations governing retail forex transactions and associated registration requirements, as well as the adoption by the National Futures Association (NFA) of Compliance Rule 2-46, which requires CPOs to be "fully registered" and Rule 4.7 exempt pools to file specified information with NFA on a quarterly basis. The letter also includes detailed guidance regarding the preparation and filing of CPO annual reports, including applicable deadlines and filing procedures.

The DCIO letter is available [here](#).

Katten Muchin Rosenman LLP
Charlotte Chicago Irving London Los Angeles New York Washington, DC