



California Corporate & Securities Law

California's Big EASI

Posted In [California Securities Laws](#), [Department of Corporations](#)

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My friend, [Bill Twomey](#), suggested a post describing how to get copies of securities and franchise filings made with the [Department of Corporations](#). It's actually pretty easy. The DOC maintains the [California Electronic Access to Securities Information and Franchise Information](#) (aka Cal-EASI) database. With Cal-EASI, you can search for filings from July 25, 2002 by company name, federal employment identification number or various DOC assigned numbers. I have a few suggestions and observations about Cal-EASI.

- If you're looking for examples of filings by others, be sure to click "click here for more options". This will allow you to search by package name, filing type, and/or code section. You don't need to know the names of the filers. You can also search by date.
- Although notices of exemption under Section 25102(f) are generally required to be filed electronically (See 10 CCR § 260.102.14(a)(2)), other DOC filings are made in paper form. This means that the filings must be scanned before posting on Cal-EASI. Thus, it is usually several weeks or months before a paper filing is available on Cal-EASI.
- The number of results defaults to 25. If you want to see more, you need to click on "click here for more options" and expand the number of results.
- In addition to the actual notices and applications, the DOC posts comment letters and responses (including e-mails). Thus, Cal-EASI can be a useful resource if you are interested in the types of comments the DOC provides with respect to particular filings.

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<http://www.calcorporatelaw.com/>